### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Dkt. No: 5681-04202 CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10 Inventor(s): James E. King and Martin P. Mayhead DATE OF DEPOSIT: February 28, 2002 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated Title: **CONFIGURING** Commissioner for Patents PROCESSING UNITS Box Patent Application

## UTILITY PATENT APPLICATION TRANSMITTAL

(For new non-provisional applications under 37 CFR § 1.53(b))

| Continuing Application: Continuation-                  | n-part (CIP) of prior application No.: 09/930,384 |  |  |  |  |
|--|---|--|--|--|--|
| Inventor(s) James E. King and Martin P.                | Filed: August 15, 2001                            |  |  |  |  |
| Mayhead  |   |  |  |  |  |
| Title: CONFIGURING PROCESSING UN                       | ITS Examiner: Unknown                             |  |  |  |  |
|  | Group/Art Unit: 2131                              |  |  |  |  |
|  | Assignee: Sun Microsystems, Inc.                  |  |  |  |  |
| Power of Attorney in Prior Application: B. Noël Kivlin |   |  |  |  |  |
| Conley, Rose, & Tayon, P.C.                            |   |  |  |  |  |
| P.O. Box 398   |   |  |  |  |  |
| Austin, Texas 78767-0398                               |   |  |  |  |  |

### **Application Elements**

1. Filing Fee

The filing fee is calculated as shown below.

| The filling fee to ententied as shown below. |            |          |    |            |            |  |
|--|------------|----------|----|------------|------------|--|
| Total Claims                                 | 43         | -20=     | 23 | x \$18.00= | \$414.00   |  |
| Independent                                  |            |          |    |            |            |  |
| Claims                                       | 5          | -3 =     | 2  | x \$84.00= | \$168.00   |  |
| Multiple Dependent Claims                    |            |          |    | Fee:       |            |  |
|  | Basic Fee: | \$740.00 |    |            |            |  |
|  |            |          |    | Total:     | \$1,322.00 |  |

| $\boxtimes$ | The Commissioner is hereby authorized to charge the filing fee and any other fees which may |
|-------------|---|
| be          | required or credit any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No.      |
| 50          | 1505/5681-04202/BNK.  |

2. Specification

43 page(s) of specification; 7 page(s) of claims, 1 page(s) of abstract

3. Drawings

Informal Figure(s) 1-18 on 14 sheet(s)

4. Oath or Declaration

Newly executed

Copy from a prior application (see 37 C.F.R. § 1.63(d))

| Deletion of Inventor(s) (in continuation or divisional applications):  Delete the following inventor(s) named in the prior non-provisional application:  |
|--|
| The inventor(s) to be deleted are set forth on a signed sheet attached hereto.  The entire disclosure of the prior application(s) referred to above is/are considered to be part of the accompanying application and is hereby incorporated by reference herein. |
| <ul> <li>6. Microfiche Computer Program (Appendix)</li> <li>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</li> </ul>  |
| Computer Readable copy Paper Copy (identical to computer copy)   |
| Statement verifying identity of above copies   |
| 8. Assignment Papers   |
| 9. Notice of Recordation of Assignment from parent application evidencing assignment   |
| 10. Power of Attorney  ☐ Is attached.  |
| The power of attorney appears in the original papers of the prior application.   |
| Since the power does not appear in the original papers, a copy of the power in the prior   |
| application is enclosed.   |
| 11. Information Disclosure Statement (IDS)   |
| Copies of IDS Citations  |
| 12. Amendments   |
| A preliminary amendment is enclosed.   |
| Cancel in this application claim(s) before calculating the filing fee. At least one  |
| independent claim is retained for filing purposes.   |
| Amend the specification by inserting before the first line the sentence: This application is a   |
| continuation-in-part application of U.S. patent application serial number 09/930,384, filed August   |
| 15, 2001, which claims priority to UK application number 0021456.9 filed August 31, 2000.  |
| 13. Return Receipt Postcard  |
| 14. Small Entity Status  A small entity statement is enclosed.   |
| A small entity statement is enclosed.  A small entity statement was filed in the prior non-provisional application and such status is  |
| still proper and desired.  |
| Is no longer claimed.  |
| 15. Priority of foreign application number 0021456.9, filed on August 31, 2000 in the United Kingdom   |
| is claimed under 35 U.S.C. §§ 119(a)-(d)   |
| 16. Petition under 37 C.F.R. § 136 for Extension of Time   |
| 17. 🔀 Fee Authorization forms  |
|  |
| Address all future correspondence to:  |
| B. Noël Kivlin   |
| Conley, Rose, & Tayon, P.C.  |
| P.O. Box 398   |
| Austin, Texas 78767  |
| Phone: (512) 476-1400 Fax: (512) 703-1250  |
|  |
| Signature  |
| <del></del>  |
| Name R. Noël Kivlin  |
| Name B'. Noël Kivlin<br>Registration No. 33,929  |

RIDING OF THE OWNER

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Atty. Dkt. No: 5681-04202                        | § CERTIFICATE OF EXPRESS MAIL   |
|--|---|
| Inventor(s): James E. King and Martin P. Mayhead | S UNDER 37 C.F.R. §1.10  S "Express Mail" mailing label number: EL893747571US DATE OF DEPOSIT: February 28, 2002  |
| Title: CONFIGURING PROCESSING UNITS              | I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C F R. §1.10 on the date indicated above and is addressed to:  Commissioner for Patents Box Patent Application Washington DC 20221  Shayna Blackmar |

### FEE AUTHORIZATION

Commissioner for Patents Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5681-04202/BNK:

| Total Claims          | 43 | -20= | 23 | x \$18.00= | \$414.00   |
|-----------------------|----|------|----|------------|------------|
| Independent<br>Claims | 5  | -3 = | 2  | x \$84.00= | \$168.00   |
|                       |    |      |    | Basic Fee: | \$740.00   |
|                       |    |      |    | Total:     | \$1,322.00 |

Attorney Docket No.:

5681-04202

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5681-04202/BNK.

Signature

Name

Registration No.

Date

B. Noël Kivlin

33,929

February 28, 2002